

Brokerage Rates

Brokerage Period	From 01-Jan-2022 To 31-Dec-2022			
Transaction Types	ALL			
Folio Numbers				
Type of Brokerage	Additional B30 Trail	Trail Brokerage		
		Year 1	Year 2	Year 3 and onwards
Hybrid				
DSP Equity & Bond Fund	1.50%	1.05%	1.05%	1.05%
DSP Equity Savings Fund	1.50%	0.80%	0.80%	0.80%
DSP Regular Savings Fund	1.75%	0.70%	0.70%	0.70%
DSP Dynamic Asset Allocation Fund	1.25%	1.15%	1.15%	1.15%
Equity Index Fund				
DSP Equal Nifty 50 Fund	0.00%	0.45%	0.45%	0.45%
DSP Nifty 50 Index Fund	0.00%	0.20%	0.20%	0.20%
DSP Nifty Next 50 Index Fund	0.00%	0.30%	0.30%	0.30%
ELSS				
DSP Tax Saver Fund	1.75%	1.00%	1.00%	1.00%
Equity				
DSP Equity Opportunities Fund	1.50%	1.00%	1.00%	1.00%
DSP Focus Fund	1.75%	1.10%	1.10%	1.10%
DSP Flexicap Fund	1.75%	1.05%	1.05%	1.05%
DSP TOP 100 EQUITY	1.75%	1.10%	1.10%	1.10%
DSP India T.I.G.E.R Fund	1.75%	1.20%	1.20%	1.20%
DSP Mid Cap Fund	1.75%	1.00%	1.00%	1.00%
DSP Small Cap Fund	1.75%	1.00%	1.00%	1.00%
DSP Natural Resources And New Energy Fund	1.25%	1.25%	1.25%	1.25%
DSP Healthcare Fund	1.25%	1.25%	1.25%	1.25%
DSP Quant Fund	0.00%	0.75%	0.75%	0.75%
DSP Arbitrage Fund	0.00%	0.55%	0.55%	0.55%
DSP VALUE FUND	0.00%	0.75%	0.75%	0.75%
Fund of Funds				
DSP Global Allocation Fund	0.75%	0.70%	0.70%	0.70%
DSP US Flexible Equity Fund	1.50%	0.90%	0.90%	0.90%
DSP World Agriculture Fund	0.75%	0.75%	0.75%	0.75%
DSP World Gold Fund	1.00%	0.75%	0.75%	0.75%
DSP World Mining Fund	0.75%	0.70%	0.70%	0.70%
DSP World Energy Fund	0.75%	0.70%	0.70%	0.70%
Fixed Income				
DSP Bond Fund	0.00%	0.40%	0.40%	0.40%
DSP Credit Risk Fund	0.00%	0.70%	0.70%	0.70%
DSP Banking and PSU Debt Fund	0.00%	0.25%	0.25%	0.25%
DSP Short Term Fund	0.00%	0.65%	0.65%	0.65%
DSP Strategic Bond Fund	0.00%	0.65%	0.65%	0.65%
DSP Government Securities Fund	0.00%	0.50%	0.50%	0.50%
DSP 10Y G-Sec Fund	0.00%	0.20%	0.20%	0.20%
DSP Ultra Short Fund	0.00%	0.70%	0.70%	0.70%
DSP Low Duration Fund	0.00%	0.25%	0.25%	0.25%
DSP Savings Fund	0.00%	0.20%	0.20%	0.20%
DSP Corporate Bond Fund	0.00%	0.25%	0.25%	0.25%

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DSP FLOATER FUND	0.00%	0.25%	0.25%	0.25%
Money Market				
DSP Liquidity Fund	0.00%	0.05%	0.05%	0.05%
DSP Overnight Fund	0.00%	0.05%	0.05%	0.05%

Brokerage Notes

- 1) Brokerage rates mentioned above are applicable for all the purchases, Switches, made from 1st Jan 2022 to 31st Dec 2022. In case of SIP / STP instalment brokerage rate prevalent at the time of trigger of instalment is applied and not the date of registration.
- 2) Trail Brokerage: The trail brokerage is calculated on the basis of 'Daily Average Assets' on the NAV. This is paid in arrears at the end of each month (unless specified otherwise).
- 3) B-30 Special trail brokerage incentive: Special trail brokerage incentive on transactions tagged with location from B-30 cities will be subject to recovery (claw back), if the investment (on which such Trail brokerage incentive was paid) gets redeemed/switched before the completion of one year from the date of investment in the respective scheme. Transactions will be tagged as B30 or T30 purely based on availability of Pin code, City Name and Country name as available in application form as well as KYC data. Distributors should ensure availability and updation of correct pin code, City and Country name in the application and KYC forms.
- 4) B30 Special trail brokerage incentive is payable for 1st year on transactions from investors classified as "Individual", subject to a per transaction limit of Rs. 200,000/-. Further, this is payable only on applicable schemes and is subject to review from time to time.
Distributor should ensure that the transactions of amount greater than Rs 2,00,000/- are not split and AMC reserves a right to aggregate multiple transactions on the same day to determine applicability of B30 brokerage incentive. In case of multiple instances, AMC reserves a right to report such transactions to regulatory authorities.
- 5) The proposed Brokerage structure is applicable for Regular plan of the Schemes. No Brokerage/Commission will be payable on Direct Plans or transactions with Invalid ARN codes or if the ARNs is suspended / debarred / suspended or where the KYC of the client is not valid.
- 6) The Brokerage structure communicated for schemes of DSP Mutual Fund (DSPMF) from time-to-time is inclusive of all cess, charges, taxes etc. that may be incurred by DSP Investment Managers Pvt. Ltd. (DSPIM) and / or DSP Mutual Fund (DSPMF). Further, the Brokerage payable would be subject to all the statutory deductions etc.
- 7) The brokerage rates for assets mobilized during the current period in all DSP open ended equity, hybrid and ELSS category schemes are expected to remain constant till the time such assets are redeemed, except in following cases: (a) If limits on Total Expense Ratio (TER) goes down or (b) Increase in scheme operating expenses, including GST or similar taxes. DSPIM and DSPMF reserves the right to revise the brokerage rates with effect from the date of reduction of TERs by SEBI as and when announced.
- 8) DSPIM and DSPMF reserve the right to withhold / not pay trail Brokerage or whatsoever Brokerage on any transaction / application, at its sole discretion.
- 9) Brokerage payment (all sort) will be made by the respective schemes of DSPMF. The clawback amount can be adjusted against any commission payments to the distributors, whether paid/incurred by DSPMF or DSPIM.
- 10) The rules and regulations of SEBI / AMFI pertaining to Brokerage payment to distributors will also be applicable for payment of the above mentioned Brokerage structure. In case the Brokerage paid to the distributor is found to be in excess of limits defined by the SEBI / AMFI, DSPIM

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or DSPMF, DSPIM / DSPMF reserves the right to recover / adjust, such excess Brokerage paid to the distributor.

11) The Brokerage /Incentive structure mentioned hereinabove is solely payable to AMFI/NISM certified distributors. DSPIM and DSPMF shall not be responsible for any losses incurred by anyone due to change in the Brokerage structure or due to any statutory provisions.

12) All distributors shall abide by the code of conduct and rules/regulations laid down by SEBI and AMFI. Also, it is specifically mentioned that the distributor will neither pass on or rebate Brokerage /incentive back to investors nor tempt them with gifts /rebate. DSPIM/DSPMF will take disciplinary action against any distributor who is found violating the rules, regulations and code of conduct. The distributor shall disclose all commissions (Trail, trail or any other mode) payable to them for the different competing schemes of various Mutual Funds from amongst, which the scheme is being recommended to the investor.

13) DSPIM and DSPMF reserves the right to change, withdraw, and / or amend the above mentioned terms and conditions, without any prior notice.